## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\underline{}$  is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on  $\underline{}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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ming date before th	iat of the application	on which phonty is ci	aimed.	
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Prier Foreign Appli	cation(s):			<u>Priority Claimed</u> Yes No
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Number	Country	Day/Month/		
I hereby claim the be	enefit under Title 35, U	Inited States Code, §1	19(e) of any United States provisional applic	ations(s) listed below
See attached A	ppendix A (listir	ng 18 U.S. Provis	ional Patent Applications)	
Application Ser. No		Filing Date		
as the subject matter provided by the first defined in Title 37,	er of each of the claim t paragraph of Title 35	ns of this application is 5, United States Code gulations, §1.56 which	120 of any United States applications(s) list not disclosed in the prior United States applications, §112, Lacknowledge the duty to disclose manager the detection occurred between the filing date of the pri	olication in the manne naterial information a
See Attached	Appendix B (listi	ng 19 U.S. and P	CT Patent Applications)	
Application Ser. No	o	Filing Date	Status: Patented, Pending, A	Abandoned
Application Ser. No		Filing Date	Status: Patented, Pending, A	Abandoned

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09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor	
Kevin P. Baker	
Nevill ( . Dane)	
Inventor's signature	Date Alalas
Inventor's signature	816101
Residence	
14006 Indian Run Drive, Darnestown, Maryland 20878	
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Post Office Address 1 DNA Way	
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Fill name of second joint inventor, if any	
David Botstein	
Esta Bottom	
Inventor's signature	Date
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Residence	
2539 Somerset Drive, Belmont, California 94002	
Citizenship	
US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Dan L. Eaton	
	Date
Inventor's signature	Date
Residence	
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Citizenship	
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Full name of forth inventor	
Napoleone Ferrara	
Inventor's signature	Date
Residence	
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Full name of fifth joint inventor, if any	
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Residence 538 18th Avenue, San Francisco, California 94121	
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Full-name of sixth joint inventor, if any Mary E. Gerritsen	
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Citizenship CiA-	
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Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship υs Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of tenth inventor Aëstin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Inventor's signature Date Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080

ruii hame oi twentii joint inventor, n any		
Ivar J. Kljavin		
Inventor's signature	Date	
Residence 811 Dell Road, Pacifica, California 94044		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		,
Full name of thirteenth inventor		
Mary A. Napier		
Inventor's signature	Date	
Residence 1015 Hayne Road, Hillsborough, California 94010		
Citizenship US-		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full-name of fourteenth joint inventor, if any Margaret Ann Roy		
Inventor's signature	Date	
Residence 2960 Webster Street #4, San Francisco, California 94123		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of fifteenth joint inventor, if any		
Daniel Tumas		
Inventor's signature	Date	
Residence 3 Rae Avenue, Orinda, California 94563		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

ull name of sixteenth inventor		
William I. Wood		
Inventor's signature	Date	
Residence 35 Southdown Court, Hillsborough, California 94010		
Citizenship US		

Post Office Address 1 DNA Way South San Francisco, CA 94080

### **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

```
60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
60/069,425 filed December 12, 1997;
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60/968,017 filed December 18, 1997;
60/070,440 filed January 5, 1998;
60/974,086 filed February 9, 1998;
60<del>/0</del>74,092 filed February 9, 1998;
60/075,945 filed February 25, 1998;
60/412,850 filed December 16, 1998;
60/113,296 filed December 22, 1998;
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60/146,222 filed July 28, 1999.

<u>L</u>

### **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

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09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

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PCT/US99/21090 filed September 15, 1999;

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PCT/US99/30095 filed December 16, 1999;

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PCT/US00/04414 filed February 22, 2000;

P€T/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

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filing date before that of the application on which priority is claimed: **Priority Claimed** Prier Foreign Application(s): Yes No Day/Month/Year Filed Nümber Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>See Attached Appendix t</u> Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	-
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	_

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Full name of sole or first inventor	
Kevin P. Baker	
In <del>ve</del> ntor's signature	Date
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Inventor's signature	Date
and the second	8-1-01
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255) Golikiset Birre, Bennoitt, Camorina 94002	
Citizenship	
US .	
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The state of the s	
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CA.	
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Full name of seventh inventor	
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Addrey Goodald	
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Full	name	of	sixteenth	invento
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William I. Wood

Inventor's signature	Date		
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**|-**

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inventor's certificat	ign priority benefits und le listed below and hav hat of the application	e also identified below	any foreign applica	(d) of any foreign appli tion for patent or invent	cation(s) fo or's certific	or patent or ate have a
Prior Foreign Appl	ication(s):				Priority Yes	<u>Claimed</u> <u>No</u>
Number	Country	Day/Month/\	ear Filed			
See attached Application Ser. N	Appendix A (listir o.	<b>ig 18 U.S. Provis</b> Filing Date	ional Patent Ap			
as the subject matt provided by the first defined in Title 37, national or PCT in	ter of each of the claim of paragraph of Title 35 , Code of Federal Reg nternational filing date	s of this application is , United States Code, ulations, §1.56 which of this application:	not disclosed in the §112, I acknowledg occurred between	ates applications(s) list prior United States app e the duty to disclose m the filing date of the pri	dication in t naterial info	the manner ormation as
	Appendix B (listi		CT Patent Appl	cations)		1
Application Ser. N	lo.	Filing Date	Status:	Patented, Pending, A	Abandoned	I

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Kevin P. Baker		
	Date	
Invegtor's signature	Date	
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Post Office Address 1 DNA Way		
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Full-name of second joint inventor, if any		
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David Botstein		
Inventor's signature	Date	******
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49 FUULLAISS Dr.		
Citizenship US		
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full name of forth inventor	
Napoleone Ferrara	
nventor's signature	Date 8 7-01
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Inventor's signature  May	7/3//0/
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Full-name of sixth joint inventor, if any	
Mary E. Gerritsen	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date 8/1/01
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any Paul J. Godowski Inventor's signifure Mru Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Inventor's signature 8/4/7001 Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship υs Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Inventor's signature 6/2011 Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date 817100 Inventor's signature Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB Post Office Address 1 DNA Way

South San Francisco, CA 94080

Full name of twelfth joint inventor, if any	
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Margaret Ann Roy	
Inventor's signature	Date
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Cilizenship US:	
Pöst Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, inany  Daniel Tumas	9-7:001
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

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William	1	Wa	M
vvuitann	1.	WV CX	X.

Inventor's signature	Date
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Docket No. P2548P1C1

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\rm i}$  is attached hereto or  $_{\rm in}$  was filed on  $_{\rm in}$  as Application Serial No.  $_{\rm in}$  09/866,028 and was amended on  $_{\rm in}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

	al Regulations, Section 1.		
inventor's certif	ficate listed below and have	der Title 35, United States Code, §119(a)-(d) of any e also identified below any foreign application for pa on which priority is claimed:	y foreign application(s) for patent or atent or inventor's certificate have a
Topological Control Co			Priority Claimed
Prior Foreign A	Application(s):		Yes No.
respectively, present the pre- paration of the pre- tion of the pre- paration o			163 140
Number	Country	Day/Month/Year Filed	
I hereby claim the	he benefit under Title 35, U	nited States Code, §119(e) of any United States pro	ovisional applications(s) listed below
See attache	ed Appendix A (listin	<u>ıg 18 U.S. Provisional Patent Applicatio</u>	ons)
Application Se	er. No.	Filing Date	
I hereby claim	the benefit under Title 35, l	United States Code, §120 of any United States app	lications(s) listed below and, insofa

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications (s) listed below and, insolar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

See Attached Appendix	B (listing 19 U.S. and PC	Patent Applications)
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

> 09157 PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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, ,	
Full name of sole or first inventor	
Kevin P. Baker	
Inventor's signature	Date
Residence 14006 Indian Run Drive, Darnestown, Maryland 20878	
Citizenship GB=	
Post Office Address  1 DNA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any David Botstein	
Inventor's signature	Date
Résidence 2539 Somerset Drive, Belmont, California 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, California 94901	
Citizenship US	
Post Office Address 1 DNA Way	

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Full name of forth inventor	
Napoleone Ferrara	
Inventor's signature	Date
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Citizenship US	
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Ellen Filvaroff	
Inventor's signature	Date
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Full name of sixth joint inventor, if any	
May E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, California 94402	
Cifizenship CA:	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	· -
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080

## Page 5 Full name of twelfth joint inventor, if any Ivar J. Kljavin Date Inventor's signature 07-25-01 Residence 811 Dell Road, Pacifica, California 94044 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth inventor Mary A. Napier Inventor's signature Date Residence 1015 Hayne Road, Hillsborough, California 94010 Citizenship บร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of fourteenth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship ບຮຼ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any **Daniel Tumas** Inventor's signature Date

Residence

Citizenship US

Post Office Address
1 DNA Way

South San Francisco, CA 94080

3 Rae Avenue, Orinda, California 94563

Full	name of	f sixteenth	inventor

William I. Wood

Date Inventor's signature Residence 35 Southdown Court, Hillsborough, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

## **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997; 60/069335 filed December 11, 1997; 60/069,278 filed December 11, 1997; 60/069,425 filed December 12, 1997; 60/069,696 filed December 16, 1997; 60/069,694 filed December 16, 1997; 60/069,702 filed December 16, 1997; 60/069,870 filed December 17, 1997; 60/069,873 filed December 17, 1997; 60/968,017 filed December 18, 1997; 60/070,440 filed January 5, 1998; 60/074,086 filed February 9, 1998; 60/074,092 filed February 9, 1998; 60/075,945 filed February 25, 1998; 60/112,850 filed December 16, 1998; 60/113,296 filed December 22, 1998;

60446,222 filed July 28, 1999.

H

### APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filling date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed
Yes No

Number Country Day/Month/Year Filed

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See attached Appendix A (listing 18 U.S. Provisional Patent Applications)

Application Ser. No. Filing Date

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Full name of sole or first inventor		
Kevin P. Baker		
Inventor's signature	Date	
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GB=		
Post Office Address 1 DNA Way		
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Full name of second joint inventor, if any		
David Botstein		
Inventor's signature	Date	
Residence		
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Citizenship US		
Post Office Address 1 DNA Way		
South San Francisco, CA 94080		
Full name of third joint inventor, if any		
Dan L. Eaton		
Inventor's signature	Date	
Residence 75 Knight Drive, San Rafael, California 94901		
Citizenship US		
Post Office Address		

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Full name of forth inventor		
Napoleone Ferrara		
Inventor's signature	Date	
Residence 2090 Pacific Avenue #704, San Francisco, California 94109		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of fifth joint inventor, if any		
Ellen Filvaroff		
Inventor's signature	Date	
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Citizenship US		
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Full name of sixth joint inventor, if any		
Man E. Gerritsen		
Inventor's signature	Date	
Residence 54L Parrott Drive, San Mateo, California 94402		
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Full name of seventh inventor		
Audrey Goddard		
Inventor's signature	Date	
Residence 110 Congo Street, San Francisco, California 94131		
Citizenship CA		
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Full name of eighth joint inventor, it any	
Paul J. Godowski	
Inventor's signature	Date
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Citizenship US	
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J. Christopher Grimaldi	
Inventor's signature	Date
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Citizenship US-	
Post Office Address 1 DIA Way South San Francisco, CA 94080	
Full name of tenth inventor  Austin L. Gurney	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Kenneth J. Hillan Inventor's signature	Date
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Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any	
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Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth inventor	2
Mary A. Napier	July 20, 2001
Inventor's signature . Napier	/ Date/
Residence 1015 Hayne Road, Hillsborough, California 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2969 Webster Street #4, San Francisco, California 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of :	sixteenth	inventor
----------------	-----------	----------

William I. Wood

Inventor's signature	Date	
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and was amended o	n_(if applicable).					
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l acknowledge the du Code of Federal Reg	ty to disclose inform gulations, Section	nation which is materia I.56.	ıl to the exan	nination of this applica	tion in accordance	with Title 37,
inventor's certificate	listed below and ha	nder Title 35, United S ve also identified belo on which priority is c	w any foreig	§119(a)-(d) of any for n application for paten	eign application(s) It or inventor's certif	for patent or icate have a
Prior Foreign Applica	ation(s):				<u>Priorit</u> <u>Yes</u>	y Claimed No
Number	Country	Day/Month				
		United States Code, §				listed below:
Application Ser. No.	•	Filing Date		-		
as the subject matte provided by the first defined in Title 37, C national or PCT inte	r of each of the clair paragraph of Title 3 Code of Federal Re ernational filing dat ppendix B (list	United States Code, one of this application is 5, United States Code gulations, §1.56 whice of this application:  ing 19 U.S. and Friling Date	s not disclos e, §112, I ack h occurred I	ed in the prior United to knowledge the duty to between the filing date	States application in disclose material in e of the prior application in disclose material in e of the prior application in disclose material in e of the prior application in the prior application	n the mainter Iformation as ation and the

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Full name of sole or first inventor	
Kevin P. Baker	
Inventor's signature	Date
Residence	
14006 Indian Run Drive, Darnestown, Maryland 20878	
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Dan L. Eaton	
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Citizenship C.A.	
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Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any	
Paul J. Godowski	
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J. Christopher Grimaldi	
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Citizenship US:	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of tenth inventor	
Austin L. Gurney	
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	-
Residence  Lane, Belmont, California 94002	
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Ma <sup>‡</sup> garet Ann Roy	
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Full name of twelfth joint inventor, if any

Full name of s	ixteenth	invent	)[
----------------	----------	--------	----

William I. Wood

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PCT/US01/06520 filed February 28, 2001.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kevin P. Baker, et al.

Serial No.: Filed herewith

Filed: Filed herewith

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown



# ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF CORRESPONDENCE ADDRESS

BOX: Patent Application Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Please recognize as Associate Attorney in this case:

Ralph J. Gabric - Reg. No. 34,167

Paul E. Rauch, Ph.D - Reg. No. 38,591

K. Shannon Mrksich - Reg. No. 36,675

Please direct all communications relative to said pending patent application

to the following address:

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Brinks, Hofer, Gilson & Lione
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PATENT TRADEMARK OFFICE